

# Strategies to Implement Big Data Analytics in Telecommunication Organizations

Dr. Delton Aneato  
Walden University  
Minneapolis MN, USA

Dr. Cesar Castellanos  
Walden University  
Minneapolis MN, USA

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**Abstract:** Information technology (IT) leaders who do not invest in big data projects may struggle to gain a competitive advantage and business insights to improve performance. Grounded in Kotter's change and Six Sigma models, the purpose of this qualitative multiple case study was to explore strategies IT leaders use to implement big data analytics successfully. The participants comprised 4 IT leaders from 2 telecommunication organizations in the United States of America, who expertly used big data analytics strategies to promote and maximize competitive advantage. Data were collected from semistructured interviews, company documents, and project-related documents. The collected information was examined by utilizing a thematic analysis approach. Four themes emerged from the data analysis process communication, training, employee involvement in decisions, and teamwork strategy. A key recommendation from these findings is for IT leaders to use successful communication strategies to convey the vision and objectives to all organizational levels. The successful communication-strategy can help evaluate business trends, forecasts, improve overall organizational performance and competitive advantage. The implications for positive social change include the potential for job creation, thus catalysing economic growth within communities.

**Keywords:** Strategies to Implement Big Data; Big Data Analytics; Telecommunication Organizations; Managerial Approaches; Change Models

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## 1. INTRODUCTION

Nearly half of organizational leaders invest in big data projects (Grover et al., 2018). Leaders who do not invest in big data projects may fail to recognize and leverage the information needed to gain a competitive advantage (Chrimes et al., 2017). Due to the notable cost reduction in data acquisition technology, data processing, and data storage, digital data's exponential growth to support business operations. Therefore, organizational leaders are forced to discover techniques to collect a vast amount of data, process the data, analyze and derive patterns that lead to business decisions. After attaining a desired systematic process, organizational leaders are to identify strategies to implement the right technology, process data successfully, and achieve the aspired outcome. Grover et al. indicated that big data adoption could have adverse effects after implementation. Grover et al. defended the argument by stating that big data implementation's low success rate can negatively affect operational and financial performance. This qualitative multiple case study aimed to explore the strategies used by IT leaders in the telecommunication industry and how big data analysis is used to drive decisions. Adopting big data analytics implementation strategies may help leaders successfully achieve business goals to improve organizational performance while reducing operating costs. Implementing big data analytics can improve a company's competitive advantage, growth, and performance. However, inefficiently applying big data analytics strategies can result in selecting the wrong technologies (Matthias et al., 2017). Big data technology enables organizational leaders to access, diagnose, and integrate the necessary information gathered through various data sources. Therefore, organizational leaders need to have the skills and techniques to collect and manage the data. Leaders also need to gain implementation experience and the knowledge to employ analytical tools. The success of implementing big data analytics requires that leaders have the expertise and knowledge of these strategies. Big data analytics drive organizational experience among leaders to improve

their business goals. Alsgaier et al. (2017) advocated the view that big data analytics is related to effective operations, customer satisfaction, and positive financial performance. Potential benefits of using big data analytics within organizations exist, but organizations still fail to appreciate the value of such practice (Sharma et al., 2014). In large organizations, 50% of big data-related projects are never completed. However, organizational leaders still require substantial guidance to realize the value generated by using big data analytics (Wang & Hajli, 2017). Organizational leaders should consider big data analytics seriously to heighten and evolve their development issues.

## 2. PROBLEM & PURPOSE OF THE STUDY

Business leaders are not likely to benefit from using big data analytics if they do not recognize and leverage business patterns to improve processes or reduce costs (Chrimes et al., 2017). A 2016 survey indicated that 48% of organizational leaders invested in big data projects, but 60% of these organizational leaders struggled to gain a competitive advantage (Grover et al., 2018). The general business problem is that leaders lack strategies to use big data analytics to improve processes or reduce costs. The specific business problem is that some IT leaders in the telecommunication industry lack the knowledge to implement big data analytics successfully. The purpose of this qualitative multiple case study was to explore strategies that IT leaders in the telecommunication industry used to implement big data analytics successfully. The targeted population was comprised of four IT leaders in the telecommunication industry from two organizations that have successfully implement big data analytics. The geographic area for this research was Seattle, Washington, and New York, New York. Implications for positive social change include the potential improvement of the employees' standard of living by providing permanent well-paying jobs that enable employees to support their families and contribute to communities.

### 3. DISCUSSIONS

#### 3.1 Background of Big Data Analytics

*Big data* is defined as data that is continually being generated from multiple sources and diverse data formats that are structured and unstructured (Grover et al., 2018). Big data provides leaders the potential to mining valuable business information for their organization (Balachandran & Prasad, 2017). Leaders can get a holistic view of their performance through the translation and consumption of structured and unstructured data from multiple sources. Big data can help leaders identify relationships, patterns, and insights from different types of data to help them determine their organization's performance. Wamba et al. (2015) defined big data analytics as the holistic approach of capturing, managing, processing, and analyzing data. A typical data classification method is the 5V's (i.e., volume, velocity, variety, value, and veracity).

Big data creates business insights for delivering sustainability, performance, value, and establishing competitive advantages over its competitors (Wamba et al., 2015). The first characteristic is volume, which refers to the ever-growing magnitude of data. Velocity refers to the continuous generation of data at an unparalleled pace. Variety is the different data formats, ranging from structured, semistructured, and unstructured data (Grover et al., 2018). Value refers to the worth of hidden insights in data. Finally, veracity refers to the biases, noise, trustworthiness, and messiness of the data set (Yaqoob et al., 2016). The emergence of networked businesses from social media outlets, including Facebook and Instagram, has dramatically increased the volume, variety, velocity, value, and veracity of structured, semistructured, and unstructured data. Traditional data analytic tools can process and store structured and semistructured data in conventional databases.

In contrast, big data analytics can store and process structured, semistructured, and unstructured data from multiple sources. Studying the origin of big data applications is vital in understanding the conceptual background, vision, and trend of big data (Yaqoob et al., 2016). Big data analytics has spawned into a massive industry of data. However, before its existence, standalone applications existed with a single processing unit to reflect business users' actions with the computation speed of a local host machine (Abolfazli et al., 2014). Moreover, leaders used these standalone machines (a PC or server) with no existing network but with software that stored the data, performed several calculations, and produced results for further analysis. The ability to run data analytics software locally on a standalone machine was a significant source of empowerment to leaders, and this led to an increase in the purchase of standalone corporate machines in the 1960s and 1970s, and then the era of personal computers (PCs) in the 1980s (Kacprzyk & Zadrozny, 2001). Structured data has a high degree of order and use by organizational leaders, such that it can be stored in a relational database seamlessly, readily available for straightforward analysis and search engines (Adnan & Akbar, 2019). Examples of structured data include numbers, groups of words, and dates stored in a relational database. Semistructured data does not conform to relational databases' formal structure (Woo et al., 2019). An example of semistructured data is XML which is used to transfer data from one destination to another. XML is a language used for data exchange and representation (Nassiri et al., 2018). Unstructured data cannot be stored in rows and columns in a relational database and has no identifiable structure (Wu & Lin, 2018). Examples of unstructured data

include archived documents in a file folder, e-mail messages, photos, videos, and images.

Three types of advanced analytical techniques exist in big data: (a) predictive analysis, which is responsible for developing models based on past data for future prediction; (b) descriptive analysis, which is a model that reports on the past; and (c) prescriptive analysis, which uses models to specify optimal actions and behaviors (Grover et al., 2018). Grover et al. mentioned that advanced analytical techniques provide a forward-looking view, enabling leaders to anticipate and execute future opportunities based on real-time insights discovered from current events, ongoing business processes, and high-volume streaming data sources. Technology is a crucial and complementary strategic asset to big data analytics. While much of the literature surrounding big data analytics is relatively new, big data analytics is a robust process by which leaders can unearth social and potentially immense economic value to gain a competitive advantage.

Technological advancements with the combination of advanced analytical techniques can enable organizational leaders to realize the benefits of using big data analytics (Balachandran & Prasad, 2017). According to Grover et al. (2018), big data analytics apply statistical technology, processing, and advanced analytical techniques to big data to advance a competitive advantage. The International Data Corporation showed in 2019 that big data technology and services markets increased at a 23.1% compound annual rate, reaching \$48.6 billion. Several big data technologies exist in the market. Some include Hadoop and NoSQL technologies that provide leaders with access to real-time, centralized data collected from different sources. As Grover et al. indicated, leaders can embrace unique opportunities to capitalize on big data analytics, which can be translated to competitive advantage.

Big data provides leaders with the potential to mine useful business information for their organization (Balachandran & Prasad, 2017). Leaders can get a holistic view of their performance through the translation and consumption of structured and unstructured data from multiple sources. Big data can help leaders identify relationships, patterns, and insights from different data types to help them determine their organization's performance. The use of big data by leaders can help improve organizational growth by using business insights to become market leaders. Wamba et al. (2015) defined big data analytics as a holistic approach to capturing, managing, processing, and analyzing data.

##### 3.1.1 History of Big Data Analytics

Big data analytics began with the introduction of standalone computers in businesses in the 1980s (Yaqoob et al., 2016). Leaders conducted data analytics using spreadsheets or less-advanced technologies installed on standalone machines for the sole purpose of identifying business trends. Leaders would commonly use desktop software to perform data analytics on standalone applications with no Internet access for the sole purpose of collecting useful information for the organization. Yaqoob et al. described that by the mid-1990s, standalone applications hosted on local machines presented some challenges for leaders to accurately perform data analysis as the applications were unable to support the excessive processing loads of data for analysis.

The late 1990s saw an exponential increase in the volume of data generated globally (Balachandran & Prasad, 2017). The volume of data increased rapidly due to the globalization of the world's economy, the emergence and ubiquity of the

Internet, social media networks, and new product introduction of mobile devices (Amankwah-Amoah, 2016). The telecommunication sector experienced and contributed to the increase in big data mining, as the industry experienced a shift from analog to a digital platform (Asamoah et al., 2017). Data mining grew exponentially, from 281 petabytes in 1986 to 471 petabytes in 1993, to 2.2 exabytes in 2000, to 65 exabytes in 2007, and finally, 667 exabytes in 2010 (Hilbert & Lopez, 2011).

The first adopters of big data analytics were startups and online organizations such as Facebook, eBay, Google, Target, Amazon, and LinkedIn; these organizations made big data analytics part of their organizations' core foundation and strategy (Ertemel, 2015). At Target, leaders used big data analytics with their loyalty card program data to track customers' buying patterns and to predict what consumers would probably buy in the future (Wamba et al., 2017). At Amazon, almost 35% of goods purchased by consumers on Amazon.com were a result of personalized recommendations targeted at each consumer (Wills, 2014).

In summary, big data analytics was introduced as a process to assist leaders with a solution to resolve the problem associated with collecting, processing, and analyzing vast amounts of data. Big data analytics provides strategic business value that offers insights to identifying trends and algorithms to predict outcomes. Big data analytics can potentially generate business value for organizations, and how and what business value is still a work in progress. The creation of big data analytics began with the introduction of numerous new tools and techniques to replace the existing ones so that leaders achieved similar or better business outcomes. With the ever-increasing use of social media in the United States, a strong case exists to understand how massive amounts of collected data add business value, like increased productivity and profitability. Organizations would need to understand what benefits and challenges they may encounter.

### 3.1.2 Big Data Analytics and Competitive Advantage

Many organizational leaders have shifted to data-driven decision-making due to big data analytics's advantages (Adrian et al., 2018). Two fundamental advantages of implementing big data analytics are competitive advantage and cost savings (Mohan, 2016). The term competitive advantage refers to an organization's ability to be better than its competitors or others. Organizational leaders use their competitive advantage to build more economic value than their competitors (Manzoor et al., 2019). Organizational leaders who apply big data analytics within their organization can create a sustainable competitive advantage (Matthias et al., 2017). Leaders who invest in big data analytics have a 36% chance of improving their superiority over their competitors in areas such as operating efficiency and revenue growth (Anthony et al., 2015). Leaders use big data to leverage information in their organizations, giving them access to achieve a competitive advantage against competitors through improved performance and decision-making. For example, Walmart implemented an in-house design search engine that used big data for semantic analysis called Polaris (Raguseo & Vitari, 2018). The Polaris system relies on text analysis from big data and machine learning to produce relevant search results. After implementing the Polaris system, the number of completed purchases online at Walmart increased from 10% to 15%, thus creating a sustainable competitive advantage over their rivals (Jayanand et al., 2015).

Organizations can benefit from the use of information from big data analytics to reduce costs, save time, and make business decisions or prepare better product offers (Bumblauskas et al., 2017). Big data tools and techniques can help leaders achieve cost savings through data processing and storage of vast volumes of information at a lower price than a conventional database (Mohan, 2016). Big data technologies such as Hadoop, MongoDB, and Apache Cassandra, can provide substantial cost advantages. These technology platforms all share similar capabilities focused on storing and processing vast volumes of data for advanced analytics.

Organizational leaders can leverage big data open-source platforms to create their custom big data applications for advanced data analytics (Boncea et al., 2017). Open source big data platforms can also support integration with one another to form a single solution. For example, the Hadoop platform can blend data from different sources to produce business models that the MongoDB platform will process and produce valuable business insights in real-time. Leaders can also utilize the MongoDB platform for real-time operational processing of data (Lahmer & Zhang, 2016). MongoDB and Hadoop are big data tools that leaders can use for data partitioning and consistency. These platforms are very similar tools but with differences in processing and storing big data to provide leaders with big data analytics options.

Organizational leaders can select big data technologies based on their organizational goals and objectives to perform advanced data analytics. Before choosing a tool, a leader should evaluate each of the big data technology solutions' advantages and disadvantages prior to committing to one. The alignment of their business requirements with a big data technology solution could increase business benefits and opportunities. Leaders also gain business insights from the data they analyze because data contain connections with distinct relationships and patterns that can translate into new business insights.

### 3.1.3 Benefits of Big Data Analytics

Balachandran and Prasad (2017) identified four benefits of big data analytics: (a) faster and better decision-making, (b) new products and services, (c) product recommendations, and (d) fraud detection. Organizational leaders seek to make faster and better decision-making strategies with big data analytics (Elgendy & Elragal, 2016). From a leader's perspective, the significance of big data analytics lies in providing information and knowledge of value before making a business decision. Big data analytics can enable faster data analysis and decision-making through advanced data visualization.

Organizational leaders using big data analytics can create new services and products (Bartosik-Purgat & Ratajczak-Mrozek, 2018). Leaders adopting big data technologies can improve products and services, reduce organizational costs, execute innovations faster, and make better decisions (Davenport, 2014). New product features can increase a product's value, marketability, and profitability for an organization. Organizational leaders should first implement such features on a trial basis to understand the consumers' appetite in the market before conducting a full rollout. The most frequently recognized benefits from big data technologies are related to assisting leaders in introducing better services and new products.

Leaders from online businesses who adopt big data analytics can find it a valuable strategy as it provides a significant and economical way of processing a vast amount of consumer data. When consumers utilize the Internet or mobile devices,

they provide a great deal of information to organizations, including (a) websites frequently visited, (b) types of information viewed, and (c) the types of products or services of interest (Ahsan & Rahman, 2016). Consumers can leave traces of the information viewed when browsing online sites (Davenport, 2014). Leaders can also gain access and process this information using big data analytics to prepare personal offers to the consumer (Almeida, 2017). Using the generated information can lead to renewed sales, improved customer services, market intelligence, and target marketing (Raguseo, 2018).

In summary, organizational leaders use big data analytics to help direct their strategy to maximize profits, reduce costs, introduce a new product, minimize fraud, and support their decision-making processes. Big data technologies allow business leaders to make proactive, knowledge-driven decisions on future trends. Nevertheless, despite the benefits and opportunities associated with big data analytics, the implementation can create challenges.

### 3.1.4 Challenges to Implementing Big Data Analytics

Big data analytics can yield beneficial information, but some challenges exist, such as (a) managing large sets of data, (b) data silos, (c) data centralization, (d) data protection and privacy, (e) management training, (f) having the right skills to conduct analysis such as data scientists, (g) cost of implementation, and (h) organizational cultural change (Saldžiūnas & Skyrius, 2017). Michael and Miller (2013) argued that leaders would face challenges using big data analytics when trying to understand:

1. How much data to collect and store?
2. How long should the data be maintained?
3. Whether the data would be secure.
4. What is the cost?

#### 3.1.4.1 Managing large datasets

As the volume of data increases, challenges may arise, with leaders discovering ways to manage the continued growth in data collected to yield more benefits (Saldžiūnas & Skyrius, 2017). For example, law enforcement agencies and other organizations rely on video data generated from closed-circuit television (CCTV) from surveillance used in criminal investigations (Michael & Miller, 2013). Leaders are challenged with deciding whether it is cost-effective to allow the closed-circuit television to run continuously or to capture only selective scenes. The large amounts of video data generated are unstructured data collected, processed, and stored using big data technology.

Unstructured data are time-consuming to process, manage and can be expensive to store for analysis using big data analytics (Michael & Miller, 2013). A mobile network operator is a telecommunications operator or a telecom carrier. In mobile network operators (MNO), leaders in the telecommunications industry have experience managing large amounts of structured and semistructured data.

#### 3.1.4.2 Data silos

The term data silos describe the isolation or segregation of data collection (Leonard et al., 2018). The term centralized data represents a typical nonsilo environment that consists of multiple integrated systems with a complete dataset of unstructured, semistructured, and structured data (Saldžiūnas

& Skyrius, 2017). The term professional silos describe the process by which barriers can exist within an organization between departments, leading to isolation amongst individuals who are supposed to be working collaboratively in the same team rather than working individually (Kitchens et al., 2018). The phenomenon can be better known as divisional rivalry, departmental politics, or turf wars. Silos generally occur when leaders fail to provide themselves and their employees with a compelling reason and purpose to work together. Dismantling silo processes or systems into integrated processes or systems can be advantageous, enhancing an organization's external and internal performance.

Data silos are a frequent occurrence in the telecommunication sector, especially in mobile network operators (MNO) (Saldžiūnas & Skyrius, 2017). The data from a mobile network operator originates from many disparate systems and sources such as (a) device data, (b) cell site data, (c) network data, and (d) back-office data. The data are scattered in standalone systems throughout the organization, making data analysis difficult. Data not centralized within an organization can present challenges for organizational leaders to produce business insights when using big data analytics.

The downside of having a predominantly silo data environment could result in the existence of data duplication in multiple data locations (Saldžiūnas & Skyrius, 2017). Data could be of less value without cleansing, transforming, integrating, and aggregating the data before analyzing it to discover insights (Saggi & Jain, 2018). Having a silo data environment could lead to data inaccuracy, duplicate data, and no single source of truth for an organization. A data environment that encompasses different data sources from the whole organization is complete and most valuable. Data silo environments are also not sufficiently reliable for making organizational decisions because of possible errors, duplications, and missing data.

While many organizations recognize that data silos are a problem, undoing the problem can be challenging because of the possible legacy systems that may exist or an entrenched organizational culture of separating data. Changing the mindset of employees would require organizational leaders to adopt a data centralization and sharing strategy approach.

#### 3.1.4.3 Data centralization

In MNO organizations, billing systems are in a central location where leaders can securely access the information to conduct analytics centrally (Saldžiūnas & Skyrius, 2017). Other systems, such as device and cell tower site information, can be located in separate systems elsewhere throughout the organization, making data analysis of the entire data challenging for leaders to derive a comprehensive view of their organization. When an organization has a centrally located data environment, it can benefit from cost savings because of its uniform data structure standards, a centrally located data environment with no duplicate data. The linking of data silos for intergenerational research can benefit from time and cost (Leonard et al., 2018). Leaders could make better decisions for their organization if the data collected were centrally hosted, structured, and easily accessible to conduct big data analytics. Despite the organizational benefit of a centrally hosted data environment to support leaders in making better decisions, consumers have concerns regarding the lack of sufficient regulatory legislation, adequate security protocols to protect and prevent a data breach on consumers' personal information. A data centralization strategy can avoid

duplicating data sets and provide new perspectives from multiple analyses of the same data set.

#### 3.1.4.4 Data protection and data privacy

Data protection and data privacy measures promote and justify the laws and regulations set by the regulators. Data protection and data privacy of any data collected by an organization create a concern for consumers, and it can also generate challenges for organizational leaders to manage. In Europe, for example, legal reforms on data protection and privacy exist to protect an individual's data from being sold or misused (Vayena et al., 2018). In Lithuania, by law, MNOs organizations are legally expected to store service data for a minimum of 6 months to address any future billing-related inquiries, issues, or disputes for services provided and to provide information to the appropriate authorities (Saldžiūnas & Skyrius, 2017). The National Data Protection Regulators of the European Union are responsible for imposing significant fines of up to 2% of the global turnover on offenders of the data protection laws (Mullock, 2012).

The term data security describes the proper handling and protection of data (Sultan et al., 2018). Before organizational leaders can adopt big data analytics, they need to understand the associated requirements in ensuring data security and data privacy are part of their overall implementation solution. For example, during the data collecting, processing, and management stages of the big data process, the data are encrypted and secured to avoid tampering or manipulation. Data encryption is also applied when the data are at rest or in transit to protect the integrity of the data. Organizational leaders who choose to invest in big data analytics should adhere to data protection laws and have security measures to protect, control, access, and monitor data usage.

The term data breach describes the unintentional or intentional penetration of a secure system using agents to access private and confidential information or data to an untrusted secure environment (Sultan et al., 2018). A data breach from an internal or an external source occurs when digital agents search and exploit erroneous or insecure security protocols by using software agents to introduce malware into the intended systems (Kude et al., 2017). A data breach is an act of compromising an organization's security to gain unauthorized access to its systems and protected data (Shamsi & Khojaye, 2018). Carphone Warehouse, a telecommunications dealer in the UK, had over 90,000 credit and debit card information of their customers stolen (Bush, 2016). The outcome of a data breach can be expensive and exorbitant for an organization because the regulatory bodies impose a hefty financial penalty and demand payouts to the parties impacted.

#### 3.1.4.5 Management training

Another challenge of implementing big data analytics is that leaders need to acquire the analytical capability to analyze the information collected using big data analytical tools properly. Interpreting the information from big data analytical tools can be challenging if leaders do not have the required training or skills (Sharma et al., 2014). Leaders should be able to describe the output produced by using big data tools to identify strategic or operational patterns and make the right decisions to create value. Leaders derive new business insights from using big data analytical tools. When a leader cannot translate the data collected in this manner, the information produced often has little or no value to the organization (Saldžiūnas & Skyrius, 2017). A common mistake some leaders make when using big data analytics is to focus mainly on data collection and transformation instead of

using the data to develop business models and insights that might create a competitive advantage (Alharthi et al., 2017). Therefore, leaders should undergo the necessary training before proceeding with implementing big data analytics within the organization. Leaders should hire a data scientist to assist with the implementation and operational use of big data analytics technology.

Brynjolfsson et al. (2011) conducted a study that found that organizations that managed with big data increased output and productivity levels by 6%, compared to other organizations that invested in only traditional internal and external data collection with fundamental analysis. The result of the study revealed that several growing organizations implemented a data-driven culture. Tambe (2014) also discovered that organizational leaders who use big data could benefit from up to 3% growth in productivity and performance than organizational leaders that do not use it. Organizations that possess employees with the knowledge and technical skills to translate the data into business insights have a competitive advantage over their rivals. It would appear that analytical skills, a driven-data culture, and proficient leaders are necessary ingredients for a successful organization. In such organizations, opportunities exist for leaders to learn new skills and for employees to harness their potential benefits of big data analytics for the sole purpose of increasing efficiency and effectiveness with the organization. Training and workplace learning efforts are also seen as the objective goals of the organization.

#### 3.1.4.6 Cost of implementation

Economic challenges exist with implementing big data analytics. Significant capital is allocated when purchasing the hardware and software required for big data acquisition (Sivarajah et al., 2017). One of the biggest challenges with implementing big data analytics is the high cost of infrastructure (Kottasová, 2018). Even with the introduction of cloud computing technologies and other equipment, they are still costly. For example, 1,000 processing nodes connected over the cloud would require approximately 750 days to process one million gigabytes using this system and cost more than six million dollars to assemble (Trelles et al., 2011).

The costs to implement big data technology can also include hardware, software, resource time, support maintenance, and training for the employees within the organization (Verma & Bhattacharyya, 2017). Implementations also involve setting up a robust security architecture and a governing body to monitor regulatory policies and data privacy violations internally. All these requirements and more can incur a high cost to the organizations that intend to implement and invest in big data analytics (Kottasová, 2018). It is also vital to note that the high costs in implementing big data could lead to a lower adoption rate of the technology, especially by organizational leaders in small businesses (Sharma et al., 2014).

Implementing big data analytics might be time-consuming and expensive. Still, the advantages, such as faster and better decision-making, including new products and services, could significantly outweigh the challenges or barriers over time. A survey conducted by MIT across thirty industries in over a hundred countries revealed that more high-performance organizations were using big data analytics, whereas a significant amount of low-performing organizations were not (LaValle et al., 2011). The benefits of big data analytics in terms of organizational performance are clear. The superiority

in organization performance would be transparent within these companies. However, the investment to implement it within any company can become costly (Braganza et al., 2017).

### 3.2 The Kotter's Change Model

Kotter's change model outlines fundamental steps to implementing change. Organizational leaders can choose to use Kotter's change process model to understand the possible limitations of initiating or implementing new programs (Kotter, 2007). The model continues to be a popular source for research in change management, providing researchers with an understanding of challenges and opportunities by applying each step of the model to a specific organizational need. Kotter argued that successful implementation of change requires a series of eight phases:

1. Establish a sense of urgency regarding the need for change.
2. Build a powerful coalition.
3. Create a vision and strategy.
4. Communicate the vision.
5. Empower employees to act on the change vision.
6. Plan and create short-term wins.
7. Consolidate improvements and produce more changes.
8. Institutionalize new approaches in the corporate culture.

If used effectively, Kotter's theory can help a leader overcome opposition to change within the company and thereby promote organizational transformation and adoption (Kotter, 2007). Kotter's model provides a potential lens for understanding the change management process that the participating leaders used to implement big data analytics.

#### 3.2.1 Establishing a sense of urgency

The first step of this approach was for organizational leaders to establish a sense of urgency around implementing the new systems. The type of leader and the support they have for the proposed change was crucial to the success of the initiative. Transformational leaders are more likely to motivate their workers to produce requirements for new systems and processes (Muchiri et al., 2019). Change has a better chance of succeeding through a progressive leader who can inspire at different levels within the organization (Kotter & Schlesinger, 2008). The leader creates a sense of awareness for the organizational change to gain cooperation from the employees and their contribution to the overall outcome (Pollack & Pollack, 2015). There is a sense of urgency and necessity to communicate the change to all employees throughout the organization. A failure to create this sense of urgency could result in employees being resistant to change and becoming defensive (Kotter, 2007). Failure in implementing change can occur when leaders do not communicate their objectives with their leaders and employees at the beginning of a business transformation. Therefore, during the change process, effective communication helps to diminish resistance (Akan et al., 2016), which could otherwise hamper the successful implementation of new policies (Nilsen et al., 2016).

#### 3.2.2 Build a powerful coalition

Identifying the team to assist with implementing the change is Kotter's second stage. The second stage involves having a

coalition team to implement this transformation change strategy (Kotter, 2007). Transformational change within an organization can begin with a few individuals and a dynamic leader and this is essential to creating a powerful coalition for transformational change. The team to participate in the change transformation includes members of the senior leadership, managers, and employees that are committed to the cause of improving the performance and financial stability of the organization. However, for change to succeed, the team would have the experience, commitment, credibility, knowledge, and skills to influence and mobilize change within the organization.

The coalition size is also reliant on the headcount of the organization. Therefore, the larger the size of the coalition team, the higher the chances of success. When implementing change within an organization, coalition support is essential to ensure employees' good behavior, awareness, commitment, and cooperation (Johannsdottir et al., 2015). With a great leadership team, the coalition would likely be powerful enough to implement change. Organizational leaders that do not succeed in this stage are not able to form a coalition (Kotter, 2007). Implementing a change process requires the participation and contribution of senior leaders and teams to prepare and lead the employees through the change process.

#### 3.2.3 Creating an organizational vision

The third stage includes the creation of an organizational vision and strategy (Kotter, 2007). An organization's vision represents the future strategic path (Grobler et al., 2019). The vision for an organization typically comes from the initial leader of change and can extend beyond a 5-year plan. The coalition must have the same vision, strategy, and a coherent picture of the future for the organization to succeed. A realistic vision can help organizational leaders and employees align their objectives and goals. The leader of change can consider the vision as a fundamental component to motivate and inspire fellow leaders and employees within the organization. The vision contributes to the guiding principles of decision-making for the change leader while building a clear statement of direction (Pollack & Pollack, 2015). The vision should be realistic, adaptable, communicated, focused, and achievable. Overcomplicating the vision can lead to employees being less inspired.

#### 3.2.4 Communicate the vision

The fourth stage involves the communication of the vision. The change leader and coalition can sometimes fail to communicate their vision, thereby making the change process difficult for all employees to understand (Kotter, 2007). The fourth stage highlights the need for a transformation leader to effectively communicate the vision with both actions and words. Underestimating the value of creating a communication strategy to convey the change could hurt the change process (Pollack & Pollack, 2015).

Little or no communication of the vision can also have a detrimental effect on motivating employees creating confusion and unclarity. With proper communication of the vision to all, a positive chain reaction from the employees exists to the new change process. Creating avenues for employees to discuss and provide feedback is the best way to ensure that the vision is understood and communicated (Kotter & Schlesinger, 2008). Sharing the vision throughout the organization is an ingredient of a communication strategy for change management (Kotter, 2007). Positive and effective communication strategy for change management would remove any obstacles.

### 3.2.5 Empower employees to act on the change vision

In the fifth stage, Kotter described the consequences of obstacles that hinder the change process' new vision. For example, the organization's size could be an obstacle to implementing change (Baloh et al., 2018). However, organizational leaders are to remove more significant obstacles for effective implementation of the change process. Other examples of change obstacles include cultural change, performance appraisal systems, and lack of employee willingness to adapt to change (Kotter, 2007). It can be challenging to encourage the support and participation of employees during the change process (Grobler et al., 2019). Some employees might be more interested in compensation than the vision (Kotter & Schlesinger, 2008). When eliminating obstacles, it is necessary to build more integrated work processes and empower employees at different levels of the organization.

### 3.2.6 Plan and create short-term wins

Implementing a change process demands patience from the coalition (Grobler et al., 2019). The sixth step involves preparing to accept short-term wins (Kotter, 2007). The organizational willingness for change requires the engagement of leaders, managers, employees, new processes, and technology. It would take a significant amount of time for an organizational leader to achieve transformation. However, progress is something that stakeholders demand to see. Quick wins provide credibility to the change process and reassurance to the stakeholders. Leaders can bolster motivation to promote the team's focus on short-term gains. Short-term wins increase the teams' confidence, reduce complacency, and encourage detailed analytical thinking in implementing the vision and change process (Kotter & Schlesinger, 2008).

### 3.2.7 Consolidate improvements and produce more changes

Kotter's seventh step change process cautions against premature celebrations. Implementing change can take a toll on company costs and employee morale (Kotter & Schlesinger, 2008). Sometimes the transformation adoption can take 3 to 10 years before yielding the desired results (Kotter, 2007). Consequently, leaders must continuously sustain the drive and momentum of their employees (Grobler et al., 2019). Throughout this period, the culture within the organization was also likely to change. Organizational leaders must review the impact of the change process on the organizational culture (Willis et al., 2016a). The success of the change process requires the leadership team and employees to share the same culture, such as beliefs, assumptions, and values. Having the same culture means that the leadership team and employees solve problems and manage the risks (Mouhamadou et al., 2017). A sense of belonging and unity across all teams was also an indicator of a thriving organizational culture.

### 3.2.8 Institutionalize new approaches in the corporate culture

The eighth and final step of Kotter's change process describes the conceptual model through which organizations can regulate the change process (Kotter, 2007). When undergoing a corporate change process, employees can develop a new behavior and strategy. The employees' new behaviors become the organization's new shared values and culture. This culture can then lead to positive transformation when using Kotter's model. Transformation occurs at different organizational

levels and managerial behavioral change can also occur variably in most change initiatives.

The coalition should ensure that the management and employee accept and buy into the change initiative. The change initiative should also last the duration to achieve the expected outcome and increase employee confidence. Organizational success and work behaviors can be by-products of implementing a successful change process. Before implementing a new solution, such as big data analytics, the leader should have leadership buy-in. The leader should communicate the vision for a successful implementation throughout the organization. Members of the organization should form a coalition made up of leaders, managers, and employees who support and participate in the change process. When leaders are involved in the change process, a high probability exists that the implementation of the change, such as big data analytics, could be successful. The application of Kotter's model in this context explores strategies for successfully implementing big data, which requires a tremendous level of support from all management levels throughout the organization.

When implementing big data analytics, organizational leaders can introduce a change process that could lead to a cultural change, process improvements, and technical readiness within the organization. When implementing big data analytics, Kotter's change model can also allow for cultural alignment and effective communication within the organization. I can use Kotter's change process to explore strategies used to successfully implement big data analytics within the organizations in my study. The change process of implementing new systems requires a purposeful and successful leadership that can manage the changes related to both processes and people effectively. Using Kotter's change model, management can produce precise outcomes based on their experience, and leaders produce transformational change within the organization. Therefore, leaders and managers are vital to implementing change such as big data analytics.

## 3.3 Six Sigma Model

Six Sigma is an approach to continuous improvement, improving customer satisfaction, encouraging leadership, raising profits, and competitive advantage over other businesses (Mouaky et al., 2018). Six Sigma is also a business theory focused on continuous improvement by understanding customers' needs by analyzing business processes and establishing proper measurement methods (Samman & Ouenniche, 2016). The Six Sigma method is a quality improvement strategy that attempts to improve efficiency (Basta et al., 2016).

Six Sigma uses two methodologies, DMAIC (Define, Measure, Analyze, Improve, and Control) and DMADV (Define, Measure, Analyze, Design, and Verify), to examine and discuss different aspects of business processes (Sony & Naik, 2019). DMAIC and DMADV make use of statistical tools and facts to look for answers to quality-related issues and concentrate on achieving financial stability (Sony & Naik, 2019).

The DMAIC methodology includes stages such as (a) defining specific processes, (b) use metrics to track effectiveness and evaluate efficiencies, (c) analyze data and clarify goals, (d) improve change and better alignment with corporate goals, and (e) control ongoing improvement in processes (Sony & Naik, 2019).

The DMADV methodology includes the following processes (a) defining specific processes of a product or service, (b) measure requirements and response, (c) analyze requirements to customer goals and needs, (d) design the improvement of business processes, and (e) verify models to check customer requirements are met along with ongoing improvements (Sony & Naik, 2019).

#### 4. METHODOLOGY

A qualitative multiple case study was used to explore strategies that IT leaders in the telecommunication industry use to implement big data analytics successfully. This study's composite conceptual framework was Kotter's change theory for driving change augmented with the Six Sigma model for business process quality management design.

Kotter developed his eight-step change model in 1995 (Kotter, 2007). If used effectively, Kotter's theory can help leaders overcome opposition to change. Kotter's model provides a potential lens for understanding the change management process used by the participating leaders to implement big data analytics. Therefore, the management and the project team's fundamental objective during the execution of big data analytics within their organization was to produce beneficial change.

Six Sigma is a framework first conceptualized in the 1980s as a model for continuous business processes improvement (Samman & Ouenniche, 2016). Bill Smith developed the Six Sigma framework. Six Sigma is a means for finding and eliminating reasons for defects or errors, reducing cycle times and operational cost, increasing productivity, attaining higher asset utilization, and surpassing customer expectations. Two Six Sigma methodologies used are DMAIC (Define, Measure, Analyze, Improve, and Control) and DMADV (Define, Measure, Analyze, Design, and Verify). DMAIC and DMADV techniques improve the business process effectively and productively. DMADV was applied to this study. The application of big data technology in an organization also enhances business operations, financial performance, and an organization's competitive advantage. Therefore, company leaders could consider implementing big data analytics as an opportunity to eliminate waste from their current process, improve business processes, and improve organizational performance.

As such, the composite framework of Kotter's change model and the Six Sigma model was expected to be an appropriate framework for exploring and understanding the strategies that IT leaders use when implementing big data analytics successfully.

#### 5. SUMMARY OF THE FINDINGS

In summary, based on the research findings, participants identified four strategies they or other IT leaders would use to implement big data analytics within an organization successfully. Data resulting from the interview process were collected via semistructured interviews through video conferencing and analysis. The data collection analysis showed that the participants achieved the successful implementation of big data analytic with the strategies identified in the themes and Kotter's eight-step model. In this study, a direct and indirect alignment existed between the themes with the conceptual framework, which previous literature also supported.

Kotter's eight-step change model has specific strategies that business leaders apply when building team stability, employee involvement, continuous progress within a company (Calegari

et al., 2015). According to Trinidad (2016), some organizational leaders have successfully implemented change through efficient teamwork and communication, adequate training, and employee involvement strategies during change initiatives. The Six Sigma theory strengthened the principles of change, leadership, and project management (Wiler et al., 2017). These strategies do not directly align with the themes of the study. However, the conceptual framework was consistent with the specific improvement projects of business processes needed when following the standard DMADV five-phase pattern. Overall, to have a successful implementation of big data analytics with the organization, IT leaders would need to prepare for the change by:

1. Communicating the change in vision effectively.
2. Providing training to upskill the workforce.
3. Involving employees.
4. Building solid teams.

Leaders must prepare their employees for changes and help them cope while successfully implementing the change (Kotter, 2007). From this study, all four participants revealed the importance of the four themes mentioned in the research and how the themes contributed to understanding the strategies used to implement big data analytics.

#### 5.1 Analysis Summary

The implementation of big data analytics brought about communication, training, employee involvement, and teamwork, as shown in Tables 2, 3, 4, and 5. Kotter (2007) emphasized that 50% of change implementations are doomed to fail because the leaders have not prepared the employees for the change. The failure in implementing change was an issue with which most organizational leaders are grappling.

**Table 1. Profile and Demographic Information IT Leaders**

Parameters	Participants			
	#1	#2	#3	#4
Participant Code	P1	P2	P3	P4
BDA Experience	13 years	11 years	14 years	10 years
Year as a Leader	20 years	18 years	25 years	22 years
Location	L1	L1	L2	L2

Table 1 represents the profile and organizational demographics of the four participants. Each participant was assigned an alphanumeric value. This indicated the number of years of experience the participant had in big data analytics and the number of years as an IT leader. The two organizations are also represented in alphanumeric values. To ensure the confidentiality of the participants' names and organization information was kept hidden, identification codes to denote the combination of organization and participant such as L1P1, L1P2, L2P3, and L2P4. The alphabetic letter L and the numeric values 1, 2 denoted the two organizations, while the letter P and the numeric values 1, 2, 3, 4 denoted the four participants taking part in the research study. The combination of the two denoted the organization and participant.

**Table 2. Frequency of Communication**

Question where participants response includes the word Communication	Times Discussed	Percentage Value
Participant L1P1, Interview question 1, 3, 4, 5, 9	16	69%
Participant L1P2, Interview question 1, 3, 5	10	60%
Participant L2P3, Interview question 1, 3, 5, 9	12	61%
Participant L2P4, Interview question 1, 3, 4, 5,	13	62%

Table 2 represents the frequency with which participants mentioned the word communication during the interview. It also shows the percentages of interview questions answered where the participants mentioned the word involvement as a strategy for implementing change initiatives. Participant L1P1 used the word communication in five of the nine interview questions, including 69% of the collected responses. Participants L2P4 and L2P3 mentioned the word communication in four of the nine interview responses, 62% and 61% of the responses received. Participant L1P2 had the least mention of the word communication in only three interview questions and had 60% in the responses.

**Table 3. Frequency of Training**

Question where participants response includes the word Training	Times Discussed	Percentage Value
Participant L1P1, Interview question 1, 3, 7, 8	12	61%
Participant L1P2, Interview question 1, 3, 8	8	48%
Participant L2P3, Interview question 1, 3, 5, 8	13	62%
Participant L2P4, Interview question 1, 3, 5, 8	10	60%

Table 3 includes the frequency with which participants discussed training employees and IT leaders throughout the interview process and the percentage of interview questions answered concerning training as a strategy for implementing big data analytics change initiatives. Participant L2P3 discussed training in four of the nine interview questions, inclusive of 62% of the responses collected. It was the highest response obtained. Participants L1P1 and L2P4 also discussed training in four of the nine interview questions, which were 61% and 60% of participants' responses. Participant L1P2 referenced training in three of nine interview questions: the lowest participants' responses of 48%.

**Table 4. Frequency of Employee Involvement**

Question where participants response includes the word Employee Involvement	Times Discussed	Percentage Value
Participant L1P1, Interview question 1, 3, 5,	8	47%
Participant L1P2, Interview question 1, 3, 5, 9	12	61%
Participant L2P3, Interview question 1, 3, 5,	8	47%
Participant L2P4, Interview question 1, 3, 5,	9	49%

As shown in Table 4, the frequency with which participants mentioned the phrase employee involvement during the interview. It also shows the percentages of interview questions answered where the participants mentioned the word involvement as a strategy for implementing change initiatives. Participant L1P2 discussed employee involvement in four of the nine interview questions, inclusive of 61% of the responses collected. Participant L2P4 discussed employee involvement in three of the nine interview responses, which was 49% of the responses received. Participant L1P1 and L2P3 referenced employee involvement three of nine interview responses, which was 47% of the responses, which constituted the lowest responses collected.

**Table 5. Frequency of Teamwork**

Question where participants response includes the word Teamwork	Times Discussed	Percentage Value
Participant L1P1, Interview question 1, 2, 3, 4, 9	15	68
Participant L1P2, Interview question 1, 3, 5, 9	11	60
Participant L2P3, Interview question 1, 3, 5, 9	14	64
Participant L2P4, Interview question 1, 3, 4, 5,	11	60

As shown in Table 5, participant L1P1 had the highest level of frequency of teamwork, with 68% of the data collected including a reference to the theme teamwork. This was followed by participant L2P3 with 64% and both participant L1P2 and L2P4 with 60%, the lowest frequency.

## 5.2 Findings

The applicability of the findings concerning professional business practice detailed what IT leaders consider essential strategies to successfully implement a change initiative such as big data analytics within the telecommunication industry. Dynamic change strategies are necessary for organizational leaders to adapt, lead their organizations, and remain viable in a competitive environment (Yi et al., 2016). This study may help corporate leaders who attempt to implement a change initiative successfully within their organization. Based on Kotter (2007), an organizational leader's immediate need is to remain competitive and survive over time. Leaders have to understand the tools for implementing change to succeed and keep their competitive advantage in a highly volatile environment (Jeong & Shin, 2019). The findings of this study may have a positive influence on identifying these strategies.

Overall, the qualitative results are relevant to IT leaders because they provide a more profound understanding of the essential elements linked to implementing big data analytics successfully. By analyzing the IT leaders' expectations and experiences, we could see crucial strategies for designing and implementing a successful big data analytics project from using a multiple case study design. The findings also indicated that the strategies were critical to the organization's project team adoption and delivery of big data projects successfully within their respective organizations. The participants emphasized the significance of being proactive, having open and continuous communication, providing adequate training and workshops, defining clear roles and responsibilities, having a top-down, bottom-up approach with employee involvement, and creating a thriving teamwork culture. The participants also insisted on the importance of having a working process model and documenting the entire processes across the different functional areas, and reusing the same successful model again and again for future implementations. IT leaders in the telecommunication industry must understand how to successfully implement big data analytics because of the benefits it brings to the organization to remain competitive. This study's significance would help determine the best strategies and techniques for IT leaders to use to implement big data analytics.

Theoretically, it appears simple to note the critical success indicators for implementing big data analytics projects, but reality showed that there was still a high project failure rate. More complicated sets of problems or profound project implications could influence the project failure rate, but that will need further investigation. This study highlighted the relationship between management and employees and some tangible strategies used during the implementation process. These strategies serve as a foundation for a standardized change initiative process for IT leaders to reduce high failure rates. The findings of this study could also serve as a foundation to increase productivity and minimize financial losses. IT leaders who successfully implement change initiatives can increase productivity, reduce financial losses, and become more competitive. All four themes that emerged from the study aligned with Kotter's eight-step change model but only partially aligned with the Six Sigma methodology DMADV.

The four themes of (a) communication, (b) training, (c) employee involvement in decisions, and (e) teamwork correlated and aligned with several of Kotter's model steps, such as (a) establish a sense of urgency regarding the need for change, (b) build a powerful coalition, (c) create a vision and strategy, (d) communicate the vision, (e) empower employees

to act on the change vision, (f) plan and create for short-term wins, are essential and necessary to ensure a successful implementation of a change initiative. DMADV methodology develops the ideal business model destined to satisfy the customers' needs. The method is associated with the creation of new services and products. The crucial thing to note is that the product or service did not exist. It is also essential to know that the DMADV methodology could be used for new and existing processes to improve performance and technology, but it sometimes provides unfortunate outcomes. This study focused on introducing new technology into the organization.

Nevertheless, the DMADV methodology supports identifying areas for improvement when developing a new product or process. The study's result-focused on identifying strategies for successfully implementing big data analytics and not identifying areas for improvement. The DMADV methodology does not show a direct correlation to the themes identified in the study. It was also important to note that none of the participants had mentioned they used any conceptual framework or theories during the implementation of big data analytics projects.

Kotter's eight-step change and Six Sigma change models provided the conceptual framework for this research. The four themes were directly aligned to Kotter's change models and partially to Six Sigma. The data analysis revealed that IT leaders used communication, training, and employees' involvement and teamwork to implement big data analytics in their respective organizations successfully. According to Babbie (2015), IT leaders could successfully recognize strategies that other IT leaders have used to implement big data analytics successfully. Though the participants had a limited perspective of the conceptual framework, they achieved success when implementing big data analytics.

## 6. CONCLUSION

The purpose of this qualitative multiple case study was to identify strategies that IT leaders use to implement big data analytics successfully. Although many big data analytics implementation trials fail, the results of the study may provide IT leaders with some strategies to successfully implement big data analytics. Change remains inevitable as organizations try to survive in the ever-changing economy. Therefore, implementing change initiatives was crucial to organizational success. Though 22 themes emerged from the analysis, only four themes were considered effective strategies. All four participants attained success in their projects and suggested the necessity of effective communication between management and employees, training and workshop sessions to all involved, employee involvement earlier in the change process, and efficient and effective teamwork with the project teams.

Overall, the qualitative results are of relevance to IT leaders because they provide a more profound understanding of the essential elements linked to implementing big data analytics successfully. By analyzing the IT leaders' expectations and experiences, we could see crucial strategies used for the design and implementation of a successful big data analytics project from using a multiple case study design.

The results from this study showed that although the failure to implement big data analytics was considerable, using the suggested strategies identified could increase the success of implementing this change initiative. Therefore, the results did provide IT leaders in the telecommunication industry with valuable insight on how to use strategies when implementing

a change initiative or to implement big data analytics successfully in the future.

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# SAR Image Change Detection Based on Multi-scale Feature Extraction

Xiaoqian Yuan  
Chengdu University  
of Information  
Technology  
Chengdu, China

Chao Chen  
Chengdu University  
of Information  
Technology  
Chengdu, China

Shan Tian  
Chengdu University  
of Information  
Technology  
Chengdu, China

Jiandan Zhong  
Chengdu University  
of Information  
Technology  
Chengdu, China

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**Abstract:** In order to improve the contrast of the difference image and reduce the interference of the speckle noise in the synthetic aperture radar (SAR) image, this paper proposes a SAR image change detection algorithm based on multi-scale feature extraction. In this paper, a kernel matrix with weights is used to extract features of two original images, and then the logarithmic ratio method is used to obtain the difference images of two images, and the change area of the images are extracted. Then, the different sizes of kernel matrix are used to extract the abstract features of different scales of the difference image. This operation can make the difference image have a higher contrast. Finally, the cumulative weighted average is obtained to obtain the final difference image, which can further suppress the speckle noise in the image.

**Keywords:** SAR image change detection; fuzzy c-means clustering; multi-scale feature

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## 1. INTRODUCTION

SAR image change detection is the process of comparing the difference between two or more images in the same area at different times and extracting the change area. SAR change detection has been widely used in many fields such as military detection, land desertification detection, vegetation change analysis, geological disaster assessment, and urban change research. Traditional change detection algorithms can be divided into supervised change and unsupervised change detection algorithms according to whether the prior knowledge is required. Due to the limited conditions and high cost of obtaining prior knowledge in SAR image change detection, unsupervised change detection is more popular among scholars.

SAR image change detection mainly includes three steps: image preprocessing, construction of difference image and extraction of change information. Constructing a high-performance difference image is a key step in unsupervised change detection. Common difference operations include difference method and ratio method. Ratio method mainly includes log-ratio (LR) method and mean value ratio (Mean-ratio, MR) method. The speckle noise in the SAR image can be converted into additive noise by using LR method, and the variation range of the logarithmic ratio image result can be compressed. MR method uses information of neighborhood pixels and can appropriately suppresses the background information of the image. On this basis, Gong et al. proposed the Neighborhood-ratio (NR) algorithm, which uses the heterogeneity measurement coefficient  $\hat{\sigma}$  to effectively combine the mean method and the ratio method. Since then, many scholars have adopted a variety of differential operations. For example, Gong et al. used wavelet transform to fuse mean ratio and log ratio difference images, and Jiang et al. used Fourier transform to fuse difference image and log ratio image. Zhou et al. used a linear combination method to combine the mean ratio difference image and the difference method difference image. The above algorithms appropriately suppress the speckle noise in the difference image, but the generated difference image has low contrast and more speckle

noise, and the outline of the image is not clear enough, which is not conducive to subsequent classification. Because the difference image generated by the traditional algorithm has certain limitations, the quality of the difference image not only affects the accuracy of subsequent classification, but also affects the detection effect of the detection algorithm as a whole. This paper uses a weighted pooling kernel matrix to extract features from two original images by simulating convolution and pooling operations. Because the features extracted at a single scale are not comprehensive enough, this paper accumulates weighted pooling and merges the image features extracted by different window sizes. The final difference image is formed by one, and a method based on multi-scale features is proposed to construct the difference image.

## 2. SAR IMAGE CHANGE DETECTION BASED ON MULTI-SCALE FEATURE EXTRACTION

### 2.1 Difference Image Based on Multi-Scale Feature Extraction

Affected by the feature extraction of the deep neural network, the average pooling operation can effectively suppress the noise, resulting in the loss of a large amount of detailed information. Maximum pooling operation, it can effectively enhance the image characteristics, while being very sensitive to noise. Traditional difference image operators have certain defects when constructing difference images. Therefore, this paper proposes a weighted pooling method based on local window distance metric to generate difference image. This method can effectively extract the original image features, obtain a clearer image contour of the changed area, better retain the edge information of the image, and better suppress the noise interference of unchanged area. The changed area and the unchanged area have a higher contrast, which is beneficial to subsequent classification.

Assuming that the local window size is  $k \times k$ ,  $k$  is an odd number, and the weighted pooling kernel matrix is  $W^k$ , the formula 2-1 gives its matrix form as follows:

$$W^k = \begin{pmatrix} w_{11} & w_{12} & \cdots & w_{1k} \\ w_{21} & w_{22} & \cdots & w_{2k} \\ \vdots & \vdots & \ddots & \vdots \\ w_{k1} & w_{k2} & \cdots & w_{kk} \end{pmatrix} \quad 2-1$$

The value of each element in the matrix is determined by the current position and the position of the center of the matrix, which can be calculated by formula 2-2. In particular, the value of the element in the center of the matrix is initialized as  $w_{(k+1)/2,(k+1)/2} = 2/k^2$ .

$$w_{ij} = \frac{1}{k^2 \sqrt{\left(\frac{k+1}{2} - i\right)^2 + \left(\frac{k+1}{2} - j\right)^2}} \quad 2-2$$

Given two multi-temporal SAR images  $I_1$  and  $I_2$ , using the same kernel matrix to convolve the two SAR images can obtain two feature images,  $I_1^{wp}(k) = I_1 * W^k$ ,  $I_2^{wp}(k) = I_2 * W^k$ ,  $*$  denotes the two-dimensional convolution operation. In the same way, the value of each pixel after the weighted pooling operation of the image and the kernel matrix can be calculated by formula 2-3. Then use equation 2-4 to find the logarithmic ratio difference image after weighted pooling.

$$I_{nm}^{wp} = \frac{1}{k^2} \times \sum_{i=1}^k \sum_{j=1}^k w_{ij} \times I_{n+i-(k+1)/2, m+j-(k+1)/2} \quad 2-3$$

$$I_d = \left| \log(I_2^{wp} / I_1^{wp}) \right| \quad 2-4$$

The anti-noise performance of the logarithmic ratio operator is weak, and there is still a certain degree of speckle noise in the obtained difference image. Based on the sparse distribution of noise outliers and the clustered distribution of real changes in the log ratio image, we apply kernel matrices with different local window sizes to the difference image to obtain features of different scales of the image. Perform the above operations on each pixel in the difference image, and then accumulate and weight-average images with different window sizes  $T$  times to obtain the difference image. Its calculation formula is given as follows:

$$I_{DDI} = \frac{1}{T} \sum_{t=1}^T \frac{I_d^{wp}(2t-1)}{\bar{w}} \quad 2-5$$

$$\bar{w} = \frac{1}{(2t-1)^2} \sum_{i=1}^{2t-1} \sum_{j=1}^{2t-1} w_{ij} \quad 2-6$$

Where  $I_d^{wp}(2t-1)$  represents the weighted pooled image with the size of the local window is  $k = 2t - 1$ . The difference image is obtained by the cumulative summation of the above formula, and the final difference image is obtained by normalization.

## 2.2 Modified Fuzzy C-means Clustering Algorithm

Because the traditional FCM algorithm clusters each sample pixel as a separate sample pixel, and does not consider the neighborhood factor of the pixel when segmenting the image, so it is more sensitive to noise. In view of this, Ahmed et al. proposed a modified fuzzy C-Means (FCM\_S) algorithm. The FCM\_S algorithm considers the spatial factors of pixels on the basis of the FCM algorithm, which improves the accuracy of image segmentation to a certain extent. By introducing the neighborhood regular term, the classification of the center pixel is affected by the neighborhood pixels, and the modified objective function is:

$$J_m(U, V) = \sum_{i=1}^n \sum_{k=1}^c u_{ik}^m \|x_i - v_k\|^2 + \frac{\alpha}{N_R} \sum_{i=1}^n \sum_{k=1}^c u_{ik}^m \sum_{x_r \in N_i} \|x_r - v_k\|^2 \quad 2-7$$

in the above formula, represents the neighborhood set of pixel  $x_i$ , and  $N_R$  represents the number of pixels in the neighborhood.  $\alpha$  represents the adjustment parameter of the neighborhood item to the center pixel. According to the objective function of the FCM\_S algorithm combined with the Lagrange multiplier method, the membership function and the iterative formula of the clustering center can be derived as follows:

$$u_{ik} = \frac{\left( \|x_i - v_k\|^2 + \frac{\alpha}{N_R} \sum_{r \in N_i} \|x_r - v_j\|^2 \right)^{-1/(m-1)}}{\sum_{j=1}^c \left( \|x_i - v_j\|^2 + \frac{\alpha}{N_R} \sum_{r \in N_i} \|x_r - v_j\|^2 \right)^{-1/(m-1)}} \quad 2-8$$

$$v_k = \frac{\sum_{i=1}^n u_{ik}^m x_i + \sum_{i=1}^n \frac{\alpha}{N_R} \sum_{r \in N_i} x_r}{(1 + \alpha) \sum_{i=1}^n u_{ik}^m} \quad 2-9$$

## 3. EVALUATION CRITERIA

This paper uses the following evaluation criteria to objectively evaluate the results of change detection:

- (1) False negative (FN) number: the number of pixels that actually changed that were finally detected as unchanged pixels.
- (2) False positive (FP) number: the number of pixels that did not change were finally detected as changed pixels.
- (3) True positive (TP) number: The number of pixel changes detected correctly.
- (4) True negative (TN) number: the number of pixels that are correctly detected without change.
- (5) Total error pixels (Over Error, OE) number: represents the sum of the number of missed detections and the number of false detections, and its expression is:  $OE = FP + FN$ .
- (6) Percentage Correct Classification (PCC): its expression as:

$$PCC = \frac{TP + TN}{TP + FP + TN + FN} \quad 3-1$$

(7) Kappa coefficient:

$$Kappa = \frac{PCC - PRE}{1 - PRE} \quad 3-2$$

where  $PRE$  is expressed as follows:

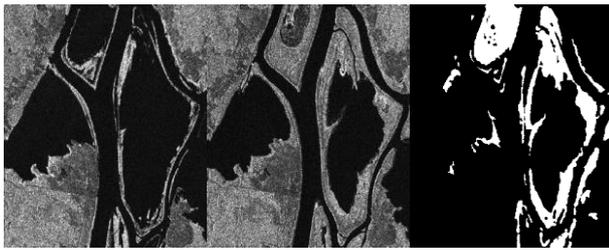
$$PRE = \frac{(TP + FP) \cdot N_c + (TN + FN) \cdot N_u}{(N_c + N_u)^2} \quad 3-3$$

The Kappa coefficient represents the similarity between the detection result and the reference image. The larger the value, the closer to the reference image and the better the detection effect. In formula 3-3,  $N_c$  and  $N_u$  respectively represent the changed number and unchanged number of the actual detected pixels.

## 4. EXPERIMENT RESULT

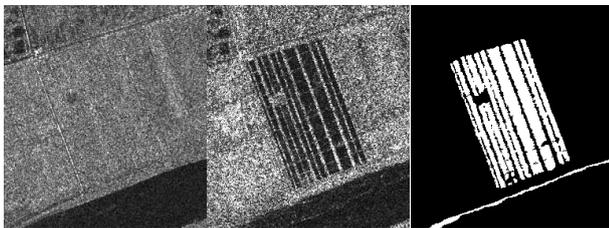
### 4.1 Experimental Data Set

The first set of SAR image data was taken by Radarstat Remote Sensing in June 1997 and August 1997, respectively, which showed the surface change caused by the flooding in Ottawa, Canada during the rainy season and the Ottawa data set for short, as shown in Figure 4-1. (a) and Figure 3-1(b). The size of the data set is  $290 \times 350$ , and Figure 4-1(c) represents the reference image of actual changes in the surface.



(a) June, 1997 (b) August, 1997 (c) reference  
 Figure. 4-1 Ottawa dataset

The second data set is the changes in the area of the Yellow River estuary. It is the remote sensing images taken by the Radarsat-2 satellite on June 18, 2008 and June 19, 2009, respectively. The size of the data set is  $289 \times 257$  pixels. Figure 4-2(c) reflects the reference image of actual changes on the surface.

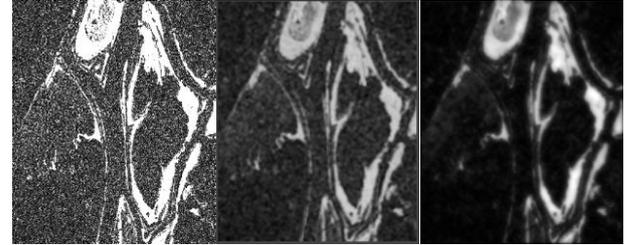


(a) June 18, 2008 (b) June 19, 2009 (c) reference  
 Figure. 4-2 Yellow River dataset

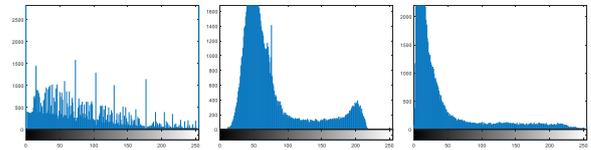
### 4.2 Analysis of Experimental Results

The hardware environment of experiment is Intel Core(TM) i5 CPU, main screen 1.8GHZ, memory 8GB. The software environment is Matlab R2016b. In order to verify that the multi-scale feature extraction proposed in this paper can generate high-performance difference image, enhance image contrast and enhance edge contours of change regions, in this section, the logarithmic ratio difference image, the neighborhood ratio difference image, and the multi-scale feature extraction difference image proposed in this paper and

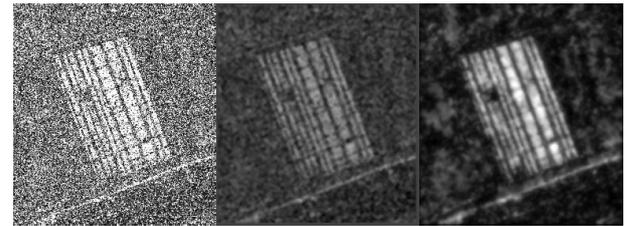
the gray histogram corresponding to each difference image are compared and analyzed. The relevant parameter settings in the experiment include: the local window size of the pooling weight kernel matrix  $k=3$ , the fuzzy factor  $m=2$ , the number of cluster centers  $c=2$ , the termination iteration condition  $\varepsilon=10^{-5}$ , and the number of pixel neighborhoods  $N_R=9$ . Regarding the setting of cumulative weighting times  $T$ , it will be discussed and analyzed at the end of this section.



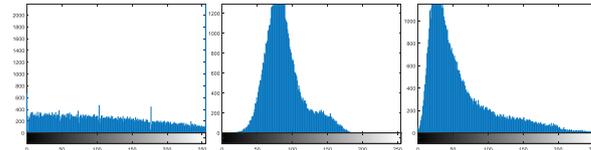
(a) LR image (b) NR image (c) proposed



(d) LR histogram (e) NR histogram (f) Multi-scale feature  
 Figure. 4-3 difference image and histogram of Ottawa dataset



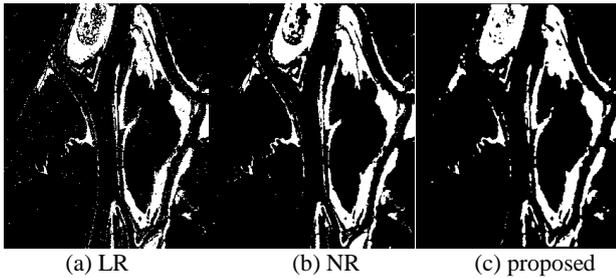
(a) LR image (b) NR image (c) proposed



(d) LR histogram (e) NR histogram (f) Proposed  
 Figure. 4-4 difference image and histogram of Yellow River dataset

It can be seen from the difference image and the gray-scale histogram that the pixel distribution of the image in the LR method is relatively uniform, and the brightness of the image as a whole is also relatively uniform. The brightness of the changed area is higher than the brightness of the NR method, but there is more speckle noise. The pixels of the NR method are mainly distributed in the middle gray area, and the background of the difference image is relatively dark, which appropriately suppresses the background information, but blurs the changing area of the image. However, the pixels of the multi-scale feature histogram are mainly distributed in low grayscale areas, and the grayscale value range of the grayscale histogram is also wider, indicating that the contrast of the image is higher, which can also indicate that the method can effectively suppress the image background information to enhance the contrast between the changed area and the unchanged area.

4.2.1 Experimental results and analysis of the Ottawa data set



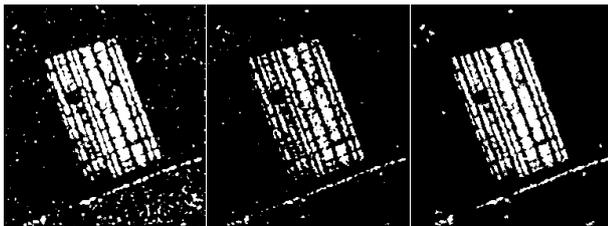
(a) LR (b) NR (c) proposed  
Figure. 4-5 Different difference operator detection results of Ottawa dataset

Table. 4-1 Detection results of different differential operators in Ottawa

algorithm	FP	FN	OE	PCC	Kappa
LR	3184	1258	4442	95.62%	85.05%
NR	1261	912	2173	97.86%	91.58%
Proposed	925	860	1785	98.24%	93.41%

Table 4-1 and Figure 4-5 are the change detection results of the Ottawa area under three different difference operators. It can be seen from Fig. 4-5 that there are many false positive and false negative pixels in Fig. 4-5(a). The logarithmic ratio method has a weak anti-noise ability, which leads to a larger total number of errors for the entire pixel. Figure 4-5(b) Compared with 4-5(a), the number of false detections is greatly reduced due to the effective using of the neighboring information of the pixels, but there are still many false detection areas in the overall image, and the edge contours of the changed areas are not clear. Figure 4-5(c) has reduced the number of false detections, which is reflected in the reduction of false detection areas at the edge of the changing area, so the total number of errors is also appropriately reduced.

4.2.2 Experimental results and analysis of the Yellow River basin dataset



(a) LR (b) NR (c) proposed  
Figure. 4-6 Different difference operator detection results of Yellow River basin dataset

Table. 4-2 Detection results of different differential operators in Yellow River basin

algorithm	FP	FN	OE	PCC	Kappa
LR	2937	2408	5387	92.75	74.81
NR	675	3748	4423	94.04	77.93
Proposed	928	2325	3253	95.62	85.56%

Table 4-2 and Figure 4-6 show the change detection results under three different difference operators in the Yellow River Basin. As can be seen from Figure 4-6, Figures 4-6(a) and 4-6(b) have more pseudo-change information, but they are reflected in different aspects. Figure 4-6 (a) shows a large

number of missed detections and false detections, so there are more white spots in the image. Figure 4-6(b) There are a large number of missed detection areas inside the change area, which may be caused by the low contrast of the difference image, but because the neighborhood ratio method uses the neighborhood factor of the pixel, there are fewer false detection pixels. Figure 4-6(c), the number of missed detections in the relative neighborhood ratio method is greatly reduced. This is because the difference operator enhances the change area of the image, and the relative log ratio method effectively reduces the interference of speckle noise and the change area The edge detail information remains intact.

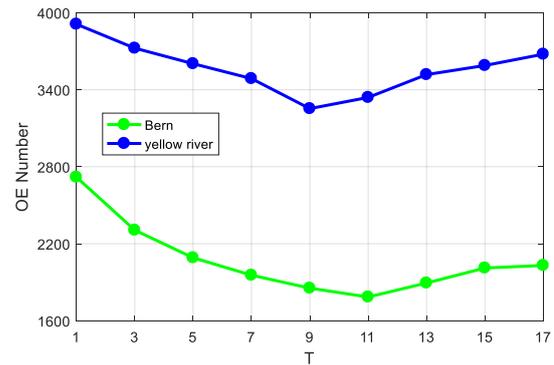


Figure. 4-7 Relationship between the OE values and T

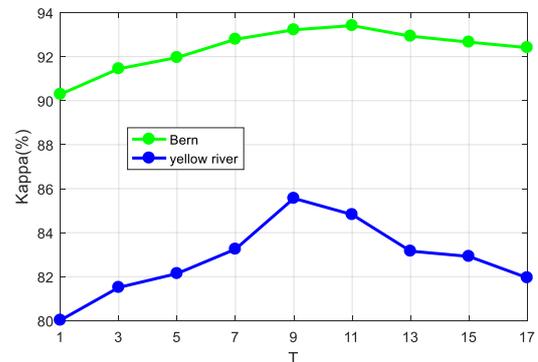


Figure. 4-8 Relationship between the PCC values and T

Figure 4-7 and Figure 4-8 discuss the influence of the cumulative weighted pooling times T on the Kappa coefficient and the total number of error pixels OE. As can be seen from the figure, the impact on different data set parameters is different. For the Yellow River Basin, when T is 9, the Kappa coefficient reaches its maximum. Through the above analysis, it can be found that for the Ottawa data set, because the pixels in the change area account for a large proportion, the number of times the feature needs to be extracted is large.

5. CONCLUSION

This paper proposes a SAR image change detection algorithm based on multi-scale feature extraction, which improves the accuracy of detection and the similarity between the image and the reference change map. In this paper, the weighted pooling kernel matrix of different window sizes can be used to extract features of different scales of the image, which can enhance the contrast of the difference image. Then the cumulative weighted average of the pixel values of different window sizes can further reduce the sparse high-value noise

on the logarithmic ratio image, deeply filter the speckle noise in the image, and suppress the background information of the image. In addition, this paper combines the FCM\_S clustering algorithm to extract the change area. Experiments prove that the difference image generated by the difference operator in this paper is visually superior to the traditional logarithmic ratio operator and neighborhood ratio operator. At the same time, it can be seen that the detection accuracy of the algorithm in this paper has been improved to a certain extent through the detection data, which verifies the effectiveness and feasibility of the algorithm in this paper. However, the adjustment coefficient control algorithm has certain limitations on the robustness of noise, and there is still room for improvement in the accuracy of the algorithm as a whole.

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# An Integrated System for Continuous Assessment and Examination Management in Schools and Colleges

Ike Mgbeafulike

Department of Computer Science  
Chukwuemeka Odumegwu Ojukwu University Uli  
Anambra State, Nigeria

Ezechi Chekwube

Department of Computer Science  
Chukwuemeka Odumegwu Ojukwu University Uli  
Anambra State, Nigeria

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**Abstract:** The technological advancement in IT has brought about the need for computer usage in all areas of human life and endeavors, education sector also. The traditional method of conducting exams is often characterized by questions leakages, human errors when marking the scripts of students and recording of students' scores. This work, Integrated System for Continuous Assessment and Exam Management was, therefore, born out of the will to solve the problems plaguing the conventional (paper-based) examination system by providing a campus-wide service for e-assessment devoid of dishonest conduct and equally enhances quick feedback. The developed system which uses a combination of HTML,PHP,MySQL reduces the proportion of workload on examination, grading and reviewing on the part of examiners. The system also enables the release of exam results in record time and without errors. Thus, this system can serve as a solution for mass education evaluation and offers many features that cannot be implemented in paper-based system.

**Keywords:** component; formatting; style; styling; insert (Minimum 5 to 8 key words)

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## 1. INTRODUCTION

An important aspect of the web technologies is in the development of web-based testing and assessment (Iyilade & Adekunle, 2005). Before the massive growth of IT, students' academic performances were evaluated via paper-based system of assignments, tests and assessment. Since recent progress Information Technology (IT) has advanced, educational products are now available electronically and new methods of educational assessment have emerged. Integrated System For Continuous Assessment and Exam Management offers greater flexibility than the traditional paper-based approach. The e-Examination system rides on the success of Information and Communication Technology (ICT) and its features, consistency, reliability and security. The system simplifies the examination process by automatic marking and computer aided control to reduce the complex paper work (Meng & Lu, 2011). The current adoption of ICT towards promoting distance education where distance between learners and instructors is no longer an issue, Integrated System for Continuous Assessment and Exam Management is a solution for mass education evaluation. The system is based on a Browser/Server framework which carries out the examination and auto-grading for objective questions. The system facilitates conducting exams, collection of answer scripts, automatic marking and the production of reports for the assessment. The system developed reduces the workload on examination, grading and reviewing. It also has the possibility to reduce drastically examination malpractice as students taking the exam are authenticated online in a real-time manner and their results are released few minutes after completing the examination because where the lecturer would spend weeks of marking scripts and grading manually, the computer would grade the students as soon as they finish their paper, get their stored continuous assessment and produce their results. It also boosts effective distance education as students taking the exam can write examination in any part of the world and get their results instantly.

## 1.1 Summary of Background

The system which the developer is proposing is an "Integrated System for Continuous Assessment and Examination Management". This system is used to solve an area of problems faced in the education organization. This system is used to conduct an objective-based/multiple-choice based online assessment; it will also generate the question paper for the assessment (which is a common method of conducting an exam). The system will generate random questions for each candidate, it will also assess the assessment and generate a result for the candidate at the same time after completing an exam. This system will generate the required reports to keep the record in files. An examination is a big test not just for the person who takes the exams but also for the teacher/lecturer and management who arrange these exams. The traditional method of exams involves the paperwork, then checking the papers, arranging the marks, and then finally feeding the results in a computer for printing the reports. This system removes most of the drawback that was in the traditional method. The exams are online so the user can write the exams from anywhere around the world. Also, the exam results can be calculated automatically just at the end of exams. It also minimizes the error in calculating results that humans do most of the time. Also, the most important benefit of making exams online is that data is saved in a structured format which will be used for detail report to make reports, charts, and presentation. A person can check the question paper that was published 10 years ago in an instant. Some challenges led to the development of the system which includes but not limited to (i) lot of copies of question papers have to be made. (ii) The examination candidates can be stopped before the allotted time for the examination by the invigilators and (iii) answer scripts can be misplaced during submission which will result in the candidate results not being recorded.

This paper aims to develop an integrated system for continuous assessment and examination management. It will help to conduct assessment and examination efficiently **and** reduce the tedious paperwork and also provide instant accessibility of examination results once the examination exercise is over.

## 2. LITERATURE REVIEW

There are several researches and projects focused on developing better ways to manage exam systems and e-learning system. Some of these researches focused on various sections of the system and these includes Schramm (2008) looked at e-learning web based system that could simply offer and grade mathematical questions with infinite continued existence. Therefore it needs the capability for input and output of mathematical formulas, the dynamic generation of plots and the generation of random expressions and numbers. The idea of the generic software is to present an empty templates to the teacher who would like to develop his required e-examination for the needful topic (mathematics, language, science, etc) and desired set of exam kinds (multiple choices, matching between words, fill in blanks, etc). Web-based Examination System is an effective solution for mass education evaluation (Zhenming et al, 2003). They developed a novel online examination system based on a Browser/Server framework which carries out the examination and auto-grading for objective questions and operating questions, such as programming, operating Microsoft Windows, editing Microsoft Word, Excel and PowerPoint, etc. It has been applied to the distance evaluation of basic operating skills of computer science, such as the course of computer skills in universities and the nationwide examination for the high school graduates in Zhejiang Province, China. Another paper (He, 2006) presents a web-based educational assessment system by applying Bloom’s taxonomy to evaluate student learning outcomes and teacher instructional practices in real time. The system performance is rather encouraging with experimentation in science and mathematics courses of two local high schools. Another paper proposed web based online examination system (Rashad et al, 2010). The system carries out the examination and auto-grading for students exams. The system facilitates conducting exams, collection of answers, auto marking the submissions and production of reports for the test. It was used via the internet and is therefore suitable for local and remote examination. The system could help lecturers, instructors, teachers and others who are willing to create new exams or edit existing ones as well as students participating in the exams. The system was built using various open source technologies HTML, PHP, AJAX and MYSQL database are used in this system. An auto-grading module was generalized to enable different exam and question types.

## 3. PROPOSED SYSTEM AND IMPLEMENTATION

The purpose of proposed system is to develop a system of improved facilities. The proposed system can overcome the limitations of the existing system. The system reduces the manual work and provides proper security. The existing system has several disadvantages and many more difficulties to work well. The proposed system tries to reduce these difficulties up to some extent. The proposed system will eliminate the inefficiencies of the current system as it will integrate the use of information technological tools to aid in the running and management of tertiary institutions in developing countries like Nigeria. The new system will also enhance the development of quality service of management which will in turn, ensure that students are not subjected to undue suffering in collection of their transcript or clearance prior to the deployment for youth service.

## 3.1 Implementation

The Integrated System for Continuous Assessment and Examination Management will be implemented using a three tier distributed architecture with a database server for information storage, a middleware application and a client side application. The client side will be designed using HTML (Hypertext Markup Language) and will be viewed with a web browser. The records and information about the school will be stored using the MySQL online database server while the middleware application will be implemented using the PHP server side scripting language.

## 3.2 Use Case Diagram

The unified modeling language used is use case diagram. A use case is a set of scenarios that describes an interaction between the user and the system. A use case diagram showcases the relationship among actors and use cases. The two main components of a use case diagram are use cases and actors. The actors in our system are Students, Lecturers, HOD and Admin. The use case diagram is designed below

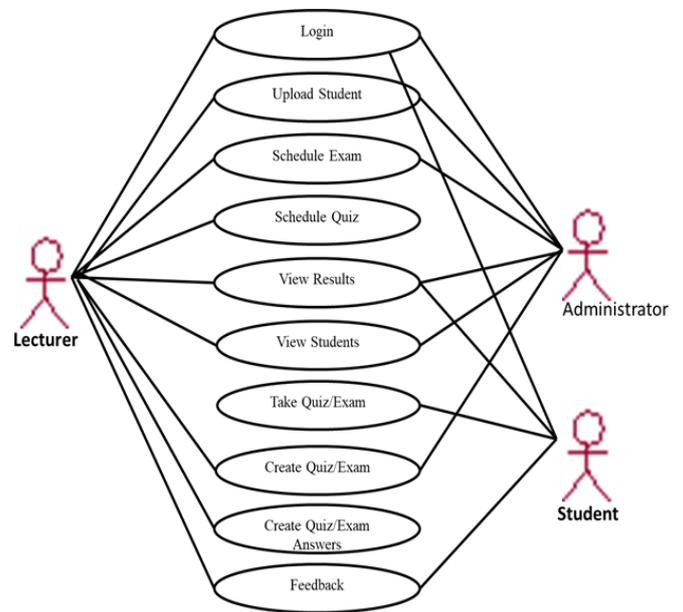


Fig. 1 Use Case Diagram of the Proposed System

## 3.3 Class Diagram

The Class Diagram of the Proposed System is displayed below:

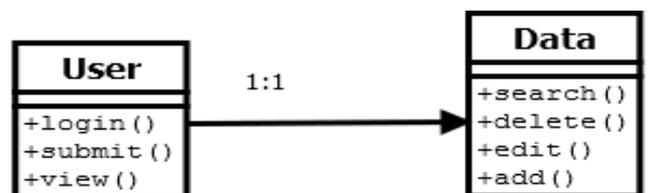


Fig 2 Class Diagram of the Proposed System

### 3.4 Architecture of the Proposed System

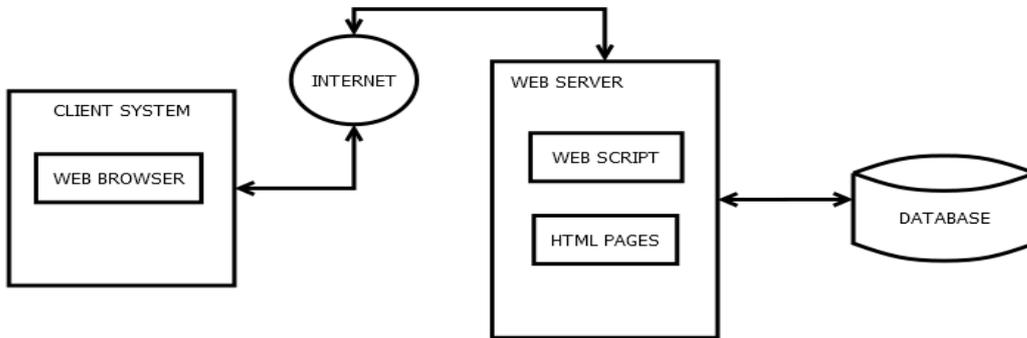


Fig 3 Architecture of the Proposed System

### 3.5 Description of Input- Output Format

#### 3.6.1 Input Format

The input format for the proposed system is displayed below:

**System Login Form:** This form lets the user submit login details to gain access into the system.

The 'SYSTEM LOGIN' form has a title bar. Below it are two input fields: 'Username' and 'Password'. At the bottom center is a 'LOGIN' button.

Fig 4 Login Interface

**Lecturer Registration Form:** This form is used by the Head of Department to register the lecturers into the system.

The 'REGISTER LECTURER' form has a title bar. It contains seven input fields: 'Name', 'Username', 'Password', 'Address', 'Email', and 'Phone'. A 'SUBMIT' button is located at the bottom center.

**Student Registration Form:** This form is used by the Records Unit Officers to register the students into the system.

The 'REGISTER STUDENT' form has a title bar. It contains five input fields: 'Name', 'RegNumber', 'Password', 'Sex', and 'Department'. A 'SUBMIT' button is located at the bottom center.

Fig 6 Student Registration Form

**Course Registration Form:** This form is used by the Records Unit Officers to register the courses offered in the school into the system.

The 'REGISTER COURSE' form has a title bar. It contains three input fields: 'CourseCode', 'Title', and 'Lecturer'. A 'SUBMIT' button is located at the bottom center.

Fig. 7 Course Registration Form

### 3.6.2 Output Interface Design

**Student Result Page:** This page displays information about the students' result on the continuous assessment and examinations written.

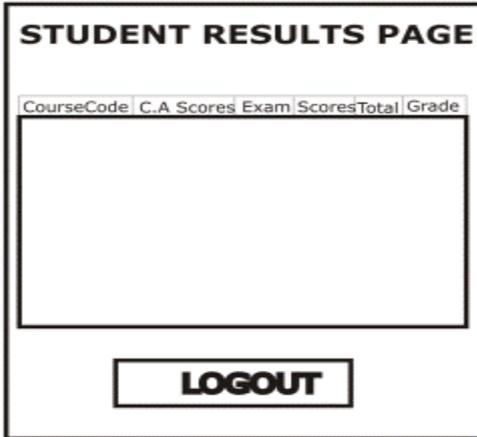


Fig 8 Student Result Window

**Score Record Form:** This form is used by the Lecturers to view the students' scores.

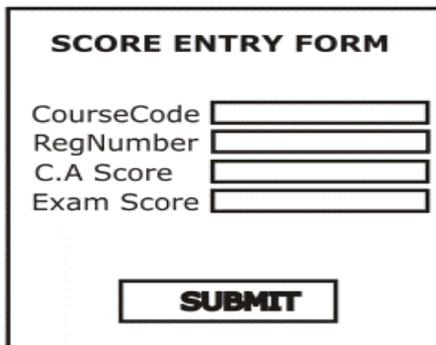


Fig 9 Score Card

### 3.7 ALGORITHM OF THE PROPOSED SYSTEM

The algorithm for the examination module of the proposed system is shown below:

1. Start
2. Login
3. Select CA
4. Start Test
5. Submit Answer
6. View Result
7. Select Exam

8. Start Exam
9. Submit Answer
10. View Result
11. End

### 3.8 Information and Product Flow Diagram

The product flow diagram is a representation of the order of sequence by which a sequence of the product is created according to the product breakdown structure which is shown in Figure 10

### 3.9 OVERVIEW DESCRIPTION OF THE NEW SYSTEM

These are explained using the program modules used to design and implement the quiz and examination system

**Logout:** Logout enables the student to return to the login page, after writing the exams. The admin can also log out after performing any task on the system.

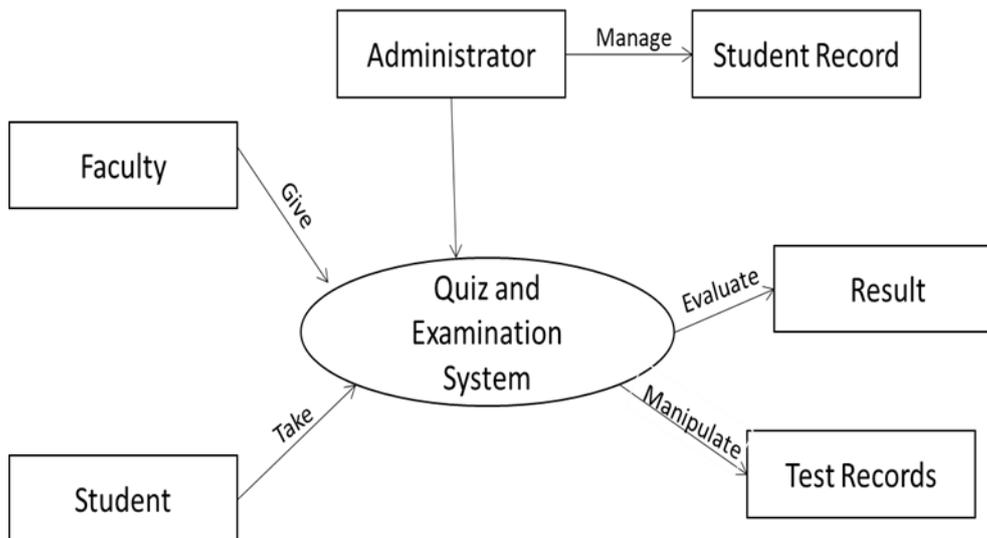
**Create Exam:** Create an exam as the name implies enables admin to create exams. This module is strictly for the admin. The admin clicks the link, enters the exam name and the score is allocated to the exams, and click submit.

**Create Questions:** Create questions as the name implies enable admin to create questions. The user clicks on the link, select the exams that he/she wants to create questions for, type the question number, input the question, input the correct options, and finally clicks submit.

**Register Student:** For a student/user to be qualified for the examination, such a student/user must be registered. During registration, the student fills a form and upload his/her photograph. The student/user is also given login credentials i.e username, password, and a PIN. These login credentials are used by the student to write exams.

**View Result:** Here a score that comprises results of all the users/students that took the exams can be viewed in a document. It can as well be printed or saved.

**Timer:** A timer is a specialized type of clock for measuring time intervals. A timer that counts upwards from zero for measuring elapsed time is often called a stopwatch, a device which counts down from a specified time interval is more usually called a timer. Timers and time switches may be free-standing or incorporated into appliances and machines. Timing functionality can be provided by the software, typically in a computer; the program is often called a "timer".



**Fig. 10 Information and Product Flow Diagram of the Proposed System**

**Write Exams:** To write the exams, clicks on the user link on the top menu. The student clicks the top menu. The student logs in with the username and password assigned during registration. The student is further prompted to enter the PIN generated by admin during registration. After logging in the student chooses the exam type and proceeds to answer the presented one after the other by clicking the next button. When the student finishes answering the questions, the student clicks on the submit button.

#### 4. RESULT AND CONCLUSION

The benefits of using An Integrated System for Continuous Assessment and Examination Management cannot be overemphasized as the system will increase accuracy in result computation, increase the speed of processing results, eliminate cases of misplacing files of students and reduce the piling up of papers in the offices. The system was able to be used to conduct examinations perfectly and all the objectives set out at the start of the research were achieved.

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# Challenges and Issues of E-Learning Using Education Cloud – A Review in Context of Covid-19 Pandemic

Saifallah Al Kati

College of Computer Science and Information Science  
Imam University  
Riyadh, Saudi Arabia

Muhammad Asif Khan

College of Computer Science and Engineering  
Taibah University  
Madinah, Saudi Arabia

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**Abstract:** During the recent Coronavirus (Covid-19) pandemic the traditional education system almost halted throughout the world. However, in order to continue with the education without wasting students time most of the countries transferred their teaching online. Although the online teaching is widely used but there are many challenges and security issues specially when the education is disseminated using education cloud. In this article we examine and review such challenges and security issues that may impact students and teachers in various educational institutions in Saudi Arabia. A review of hybrid cloud model is presented in order to benefit across institutions. The research also articulates different ways which can be adopted by educational institutions to provide smooth online teaching due to pandemic of covid-19. We also present some solutions that may help overcome challenges and secure a robust cloud infrastructure.

**Keywords:** cloud computing; e-learning; issues; challenges; online teaching; covid-19

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## 1. INTRODUCTION

A respiratory disease due to Coronavirus (Covid-19) originated from bats in China in late 2019 [1]. The virus spread out throughout the world very rapidly. Businesses, industries, educational institutions and recreational activities were shut down in order to prevent pandemic from spreading at large scale. All researchers and practitioners agreed that social distancing is the only cure to protect from pandemic and this is why people were advised to maintain a distance from interacting each other. All gaits of life were affected and education is one of them where student-teacher interaction was inevitable. Therefore, educational institutions were shut down in compliance with social distancing rule. Various institution adopted e-learning strategy in order to continuously providing teaching to students. In Saudi Arabia enormous precautionary measures were taken in all areas in order to prevent from virus spread across the country. The ministry of education suspended in-person teaching activities in all public and private educational institutions and instructed to continue teaching online without delay of even one day [2].

In order to provide a seamless teaching to students online and assessing them through various assessments methods, resources should be available all the time. Since each institution is responsible to ensure resources are in place in institutional servers it becomes more complex, expensive and hectic. The best solution is to use a cloud computing where all teaching and assessment materials are available with convenience and ease.

### 1.1 Cloud computing

A cloud is a group of servers that are available on internet and provide different functionality to various clients. Servers have

different types such as application server, database server, web server etc. Cloud computing refers to availability of computing and storage resources i.e. servers over internet. These resources are available to many users over internet at a time without compromising of data loss and data security. Cloud computing helps organizations to prevent infrastructure costs and still can use desired applications and manage data with minimum cost without any hassle. Servers are available in data centers, which enable users to access their data and applications without maintaining and updating them. Cloud computing is more useful for small businesses where technology infrastructure is more expensive than outsourcing the required resources.

### 1.2 Cloud services

There are different cloud computing service models that are available to users by different cloud service providers. [3] described three service models that are common in industry i.e. Infrastructure as a Service (IaaS), Platform as a Service (PaaS) and Software as a Service (SaaS).

#### 1.2.1 Cloud services

A cloud service provider offers virtual data storage and servers to users who may develop their own applications using the resources available in the cloud. In this service model operating systems, data storages and various applications are maintained by cloud service providers. A business client may choose the services as per requirements and pay the prescribed charges based on the usage of the services. This model is scalable which does not require any installation on premises and reduces cost. Figure 1A shows this model.

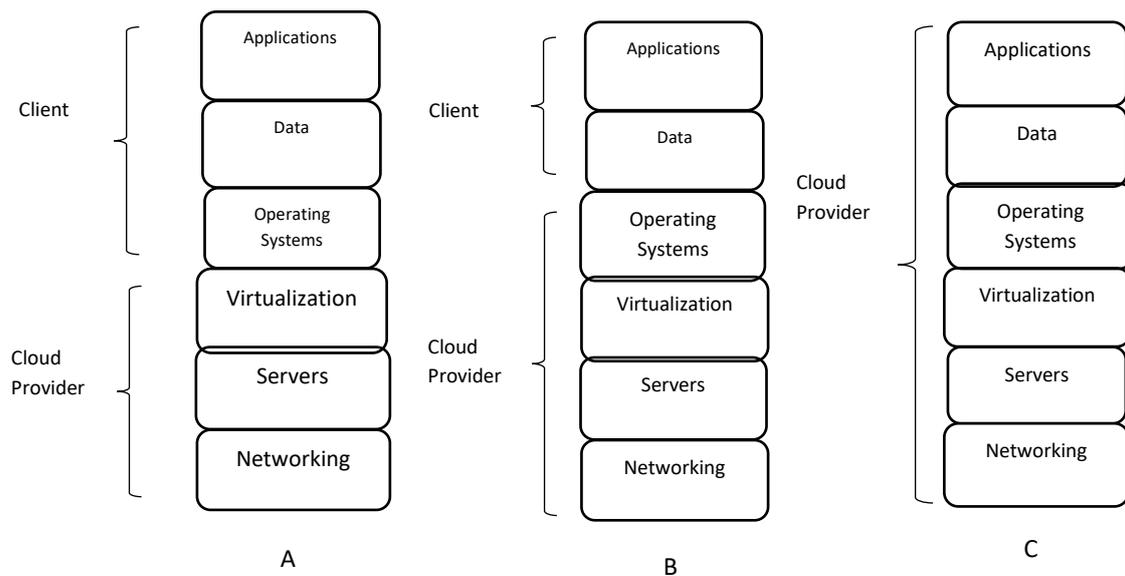


Figure 1. Cloud services infrastructure

### 1.2.2 Platform as a Service (PaaS)

This model provides users with a platform to develop, manage and test their applications that abridges the development process. The cloud provider provides servers, storage and network resources to users for developing applications and manage them by either users or the service provider. Figure 1B depicts the PaaS model.

### 1.2.4 Software as a Service (SaaS)

In this service model, cloud service provider hosts software applications and facilitates users to access the software on demand through internet. Users may develop their own business application using the software and either save data locally or in the cloud. In this, users always find updated software and its functionalities without any hassle of availability of new version of the software. Figure 1C shows this model.

In order to deploy cloud services there are mainly three types of cloud i.e. public cloud, private cloud and hybrid cloud.

Public cloud allows users to use the infrastructure provided by a cloud service provider. The cloud infrastructure is established and maintained at the premises of cloud service provider and thus users do not have any control on that. Various clients share the resources which, in turn reduces cost, but the security may be compromised and vulnerability exists. In private cloud infrastructure is dedicated to one company or user without any sharing of resources. In this type of cloud security is high, but if clients requires infrastructure can be provided to the client's premises for more security and control of the resources. A hybrid cloud is composed of public and private clouds. It is usually used by those companies which give access of products to their customers and interact with them through public cloud whereas the company maintains private data in a private cloud.

## 1.3 Research Question

In this research we strive to answer the following research question:

What are security challenges and issues of cloud computing that hamper online higher education in Saudi Arabia.

### 1.3.1 Research Motivation

Cloud computing services have been adopted throughout the globe due to their usefulness and economic feasibility. In order to fulfil educational institutions education cloud has been in use by different organizations and various educational tools and services are provided by the cloud service providers. In Saudi Arabia many universities are using education cloud to provided optimum teaching and learning facilities. However, there have been several issues and challenges which universities experience around the world. Due to such issues educational institutions face difficulties to provide required level of quality education. We aspired to find challenges and issues that universities in Saudi Arabia face and need to resolve them in order to continue providing quality education online.

## 2. LITERATURE REVIEW

Cloud computing paradigm facilitates sharing of pool of resources and its scalability feature convinces businesses to adopt this paradigm at low cost. Rapid increase in adoption of latest technology has encouraged educational institutions of higher learning to use cloud computing in order to develop global interaction, communication and cooperation [4]. In the prevailing situation due to Covid-19 pandemic educational institutions are planning to transform formal education to online education or e-learning. It is imperative for educational establishments to concentrate on imparting effective education and learning instead of focussing on technology infrastructure. Cloud computing paradigm ensures that educational institutions focus on education and effective delivery of contents to students without developing and installing software and applications [5]. In order to communicate and collaborate with other educational institutions it is necessary to have a common place where organizations could share their resources such as course material, assessments, projects etc. A hybrid cloud computing model was presented by [6] for higher educational institutions in Saudi Arabia in which such sharing of resources proposed. E-learning was adopted in

Saudi Arabia somewhat is 1990s, but a sharp rise happened due to growth in technology and internet [27]. Saudi government set up an IT plan to induct technologies at all levels especially higher education and established distance learning centers [28]. Universities in Saudi Arabia have been active in e-learning such as King Abdulaziz University, King Fahad University of Minerals and Petroleum, Taibah University, King Saud University, King Faisal University, Qassim University etc.

In view of recent Covid-19 pandemic spread, the ministry of education Saudi Arabia suspended all formal teaching in public and private institutions beginning from March 8, 2020 until further notice [7]. However, the ministry immediately launched e-learning through learning management system in order to continue studies without disruption and to save students time. The e-learning provides convenience to both students and faculty in terms of place, time and learning resources. Due to its convenience, e-learning is being adopted throughout the globe and it is replacing the traditional teaching system [8]. Faculty members can share and update the same teaching material and deliver it with confidence to their students.

However, researchers have identified some challenges in implementation of e-learning such as scalability and infrastructure that need to be updated and configured with the growth of workloads [9]. In a study conducted for Kenyan Higher Education this has been reported that in success of e-learning, apart of computing technology, quality and character of an institution plays a significant role [10]. Although initially e-learning was considered as a mean of convenience, collaboration, cost effectiveness, scalability and availability, but now it is an essential continuous source of education for students in view of covid19 pandemic. In turn, cloud computing services are gaining popularity and many challenges are also increasing accordingly. In a study [11] some challenges such as data privacy and cloud infection were reported. Some other challenges like technology awareness, infrastructure, culture, instructor competency and readiness from students and faculty were discussed in developing countries [8]. The increasing growth in technology has fascinated the Generation Y who is e-learning prone due to its convenience and availability [12] and therefore, it seems despite of various challenges and issues e-learning will become an effective and popular mode of study among the large population of students [13]. Some challenges and security issues in cloud have been reported such as surveillance of virtual machine from a host or another virtual machine, data access by malicious program, inadequate provisions in service legal agreement (SLA) and failure in complying standards [14][15][16].

In order to meet the demands of e-learning in Saudi Arabia, the ministry education, Saudi Arabia has established a national e-learning center (NeLC) with the aim to provide quality education. The center provides licenses to the entities which provide e-learning education as per standards defined by the NeLC [17]. In a study [18] various issues and concerns were examined in adoption of cloud computing in public sector. In view of increasing demands of cloud computing services are being provided in educational institutions. In another study [19], the growing trend of e-learning in Saudi Arabia is highlighted and various factors such as support, training, incentives to faculty for e-learning growth have been mentioned. Many prominent cloud service providers such as Microsoft, Google and IBM are providing attractive programs

for education such as GoogleDocs, Google Drive, Office 365 and IBM Academy [20].

### 3. MATERIAL AND METHODS

In order to determine the trend in e-learning security challenges in issues in education cloud, qualitative methodology is appropriate which provides a set of different methods for deep insight. We collected qualitative data from various articles, interview meetings and reports, in order to analyze the issues and challenges in e-learning through education cloud. Since our focus is Saudi Arabia, we collected relevant data using different methods stated above.

Qualitative methods are flexible and provide opportunity to design and improve system in view of people’s experiences and perceptions. Qualitative research helps to unfold findings in natural setting without manipulating natural phenomenon [21]. The data collected by these methods is from real world and can be adapted to explore new opportunities. Case studies provide data that is generated in real time and can be verified. Case studies use face on face interviews, focus groups and survey instruments for collecting first hand data from various sources. In our study we used case studies, which use cloud technology especially education cloud for e-learning. The case studies help to determine various issues and challenges that educational institutions face during online teaching especially in Saudi Arabia. The data collected from literature provided us foundation to investigate the issues and challenges in Saudi educational institutions. In order to collect data investigated higher educational institutions that use educational cloud. We selected five major public higher educational organizations in Saudi Arabia which agreed to provide data on anonymous condition. We contacted the responsible persons of respective departments in the institutions in order to collect data on various issues and challenges. For this purpose, we held face-to-face meetings and collected first hand data. Also, we uploaded a questionnaire on a website and received 109 responses from all the five institutions, out of which 98 found to be complete and trustworthy. In order to measure reliability, the internal consistency in responses was checked and standard general test Cronbach’s Alpha was conducted that resulted in 0.67 value. The Cronbach’s alpha value shows the reliability of the responses we received. Table 1 shows some sample of questions that were asked in the questionnaire on Likert’s scale.

Table – 1. Sample questions from questionnaire

No.	Question phrase
1	We consider our resources including teaching material secure online
2	Our students did not experience difficulties due to immediate shift online during Covid-19 pandemic
3	We have enough knowledge of technological infrastructure to support e-learning
4	Our online system is robust and cloud services never failed during teaching
5	We are satisfied with the performance of cloud services and e-learning
6	Students were comfortable during online assessments and no issues raised

7	Instructors experienced difficulties in preparing online assessments
8	Cloud services provided all necessary tools required for preparing online assessments
9	Our cloud service provider support has been available whenever we needed
10	Our students cooperated in online learning and evaluation caused by pandemic covid 19

The severity or significance of security issue in organizations was determined through the data collected by interviews and questionnaire. We used Likert's scale 1-5 in order to determine

significance in which, 5 means very high and 1 indicates very low significance of the issue or challenge.

#### 4. RESULTS AND DISCUSSION

We collected data through various literature, interviews, documents, questionnaire in order to find out the difficulties, challenges and issues in public education institutions in Saudi Arabia. The institutions used the cloud computing services and provided information about their experience.

Table 2 shows the number of respondents for the significance of the issues and challenges in the public educational organizations (mentioned as A through E for privacy) in Saudi Arabia. These issues and challenges have been extracted from the literature as well as question phrases in questionnaire that were reconfirmed by the respondents.

Table – 2. Number of respondents for significance of issue/challenge

Issue/Challenge	Literature/Reference	Significance					Mean	Standard Deviation
		Very high	High	Neutral	Low	Very low		
Privacy	[11][18][21]	45	38	3	8	4	4.1428	0.54300
Data security, risk	[21]	36	39	2	13	8	3.8367	0.49734
Infrastructure	[8]	38	41	4	7	7	3.9489	0.51536
Quality of education	[24][25]	43	44	1	8	2	4.2040	0.56982
Culture and training	[25]	28	32	8	12	18	3.4081	0.42443
Cloud control	[26]	41	38	4	8	6	3.9897	0.51493
Performance	[26]	39	41	3	10	5	4.0102	0.52334

As we can see from the above figure 1 the majority of the respondents considered quality of education as most significance issue. Usually, in Saudi Arabia government strives to provide quality education to its citizens and technology infrastructure has been developed swiftly throughout the kingdom. Similarly, privacy is another major issue for educational organizations so that educational data and students information are protected while learning online. Performance of online education has been important to the institutions and from the fig. 1 it appears that respondents gave importance to the performance whereas cloud services were also important.

We conclude from the data that our research question has been answered by identifying the issues like quality of education, privacy, performance and cloud control that have to be addressed continuously in order to provide seamless online education.

We also determined that overall public institutions were satisfied with the infrastructure and cloud services provided by service providers for online teaching due to Covid19 pandemic. However, there are some concerns for online assessments that need to be addressed in future.

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